



Business Continuity Plan

Sanctuary Wealth has implemented a Business Continuity Plan intended to address and mitigate the possibility of a significant business disruption by responding quickly to any business operational risks that arise. In our Business Continuity Plan we address the safeguarding of employees, firm property, and access to funds and securities in the case of an emergency.

Our Business Continuity Plan ("BCP") addresses mission critical systems, financial and operational assessments, data backup and recovery, and alternate methods of communication between customers, member, and employees. Because it is not possible to anticipate the nature, scope, and impact of every possible business disruption, we will assure clients prompt access to their funds and securities if we are unable to continue our business.

Our firm does not maintain custody of customers funds or securities, which are maintained with our clearing firm and statutorily qualified custodial firms. Sanctuary Wealth has a multi custodian platform which include Charles Schwab, TD Ameritrade, Fidelity, and Pershing. If after a significant business disruption, and you are unable to contact us at sanctuarywealth.com, you should consult your financial advisor.

Securities are offered through Sanctuary Securities, Inc, member FINRA/SIPC. Investment Advisory Products are offered through Sanctuary Advisors, LLC an Investment Adviser registered with the United States Securities & Exchange Commission ("SEC")

April 2023.

If you are unable to reach us or your financial advisor, please visit your respective custodian site at schwab.com, fidelity.com, tdameritrade.com, or investor.pershing.com. Updates will also be posted on sanctuarywealth.com.

Sanctuary has in place a robust cybersecurity infrastructure that adheres to the best practices for financial firms and seeks to protect the information that have been provided by customers.

While no contingency plan can eliminate all risks of service interruption, we continually assess our BCP which could be subject to modification if necessary. A summary of our BCP is available upon request by writing Sanctuary Wealth, 250 W 96th St., Suite 300, Indianapolis, IN 46260, Attn: Compliance Department or by sending to compliance@sanctuarywealth.com.