

# Business Continuity Plan

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Sanctuary Wealth has implemented a Business Continuity Plan intended to address and mitigate the possibility of a significant business disruption by responding quickly to any business operational risks that arise. In our Business Continuity Plan we address the safeguarding of clients, financial advisors, and employees' access to funds and securities, as well as firm property, in the case of an emergency.

Our Business Continuity Plan ("BCP") addresses mission critical systems, financial and operational assessments, data backup and recovery, and alternate methods of communication between clients, financial advisors, and employees. Because it is not possible to anticipate the nature, scope, and impact of every possible business disruption, we will assure clients prompt access to their funds and securities if we are unable to continue our business.

Our firm does not maintain custody of clients' funds or securities, which are maintained with our clearing firm and custodian firms. Sanctuary Wealth has a multi-custodian platform which includes Charles Schwab, Fidelity, and Pershing. If after a significant business disruption, and you are unable to contact us at [sanctuarywealth.com](https://sanctuarywealth.com), you should consult your financial advisor.

If you are unable to reach us or your financial advisor, please visit your respective custodian site at [schwab.com](https://schwab.com), [fidelity.com](https://fidelity.com), or [investor.pershing.com](https://investor.pershing.com). Updates will also be posted on [sanctuarywealth.com](https://sanctuarywealth.com).

Sanctuary has in place a robust cybersecurity infrastructure that adheres to the best practices for financial firms and seeks to protect the information that has been provided by clients.

While no contingency plan can eliminate all risks of service interruption, we continually assess our BCP which could be subject to modification if necessary. A summary of our BCP is available upon request by writing Sanctuary Wealth, 3815 River Crossing Pkwy, Suite 200, Indianapolis, IN 46260, Attn: Compliance Department or by sending to [compliance@sanctuarywealth.com](mailto:compliance@sanctuarywealth.com).

*Securities are offered through Sanctuary Securities, Inc, a member of FINRA and SIPC. Investment Advisory Products are offered through Sanctuary Advisors, LLC, an Investment Adviser, registered with the United States Securities & Exchange Commission ("SEC").*

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