

Sanctuary Securities Fee Schedule

Customer Rebillable Fees

Mutual Fund and SRS Exchanges (including confirmation fee)	\$ 5.00/exchange
Mutual Fund Surcharge (applicable to advisory and brokerage accounts) *	\$10.00
Applicable Transaction Fees	Pass through
<i>Sanctuary Securities will assess to Broker or Customer of Broker a charge that is based upon the fee as described in Section 31 of the Securities Exchange Act of 1934, as amended.</i>	
Bond Redemption Fee (assessed when bonds mature or are called)	\$0.00
Checking Fees – Stop Payment (non-Corestone Account™)	\$ 25.00/event
Checking Fees – Returned Checks (non-Corestone Account™)	\$ 25.00/event
Confirmation Fee – Retail Customer	\$ 0.00/confirmation
DK Items	
US	\$ 50.00/item
Non-US	\$ 50.00/item/day
File Transmission Protocol Maintenance Fee	\$100.00/month
Inactive Retail Account Fee	Waived
Inactive Mutual Fund Only Retail Account Fee	Waived
Margin and COD Extensions	\$ 35.00/event
Non-U.S. “Foreign” Account Fee	\$ 75.00/account/year
<i>All accounts with a tax residence other than in the U.S or Puerto Rico “Foreign” that maintain a position, balance or had a Form 1042-S reportable transaction during the calendar year shall be subject to this annual fee.</i>	
Paper Delivery Surcharge For Customer Statements and Confirms	\$2.00 per account, per month
Physical Private Placement-Settlement Fee	\$500.00/Trade
Precious Metals Storage Fee	75 bps of market value/annum
Precious Metals Delivery Fee	Pass through
Puerto Rico Tax Reporting Fee	\$ 50.00/account/year
<i>All accounts with a Puerto Rico tax residence where Puerto Rico tax reporting is required shall be subject to an annual Puerto Rico Tax Reporting Fee. In addition, any U.S. account that has a Puerto Rico tax reporting requirement (Form 480.6) shall be subject to an annual Puerto Rico Tax Reporting Fee.</i>	
Reorganization Items – Voluntary	\$ 15.00/event
Reorganization Items – Mandatory	\$ 15.00/event
Safekeeping Name US and Non-US Market Securities	\$2.00/account/position/month
<i>Additional custody fees may apply to non-US market securities</i>	

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Transfers

Account Transfers (ACAT)	\$100.00/transfer
Retirement Termination Fee	\$150.00/transfer
Direct Registration Eligible – from Pershing to Customer	\$ 10.00/transfer
Direct Registration Eligible – from Transfer Agent to Pershing	pass through from transfer agent
Certificate Cancellation/Re-Issue – from Transfer Agent to Pershing	pass through from transfer agent
Deposit and Withdrawal at Custodian (DWAC) Pass Through Fees	\$ 5.00/event
DTC Deposit	\$10.00/event
DTC Withdrawal	pass through from transfer agent
Pershing DWAC Processing Fee	\$10.00/instruction message
Legal, GNMA and Restricted Items	\$200.00/transfer
Non-US Market Transfers:	
Euroclear	\$ 15.00/item
All Other non-US Market Depositories	\$ 25.00/item
Outgoing Account Transfers	\$150.00/transfer
Register and Ship Physical Certificate to Customer	\$ 50.00/transfer
Wired Funds (outbound only)	\$ 25.00/wire transfer